Signature

Approved for use through 10/31/2002. OMB 0651-0032

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# UTILITY

4928-108.1 US Attorney Docket No. First Inventor Wen-Yi Kuo Title Doppler Period

PATENT APPLICATION Adaptive Channel Estimation Using Continuous Pilot Signal Based or TRANSMITTAL S (Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) Express Mail Label No. EV 355379060 US APPLICATION ELEMENTS Assistant Commissioner for Patents ADDRESS TO: Box Patent Application See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 1. Fee Transmittal Form (e.g., PTO/SB/17) 7. CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) See 37 CFR 1.27. 3. Specification [Total Pages | a. Computer Readable Form (CRF) (preferred arrangement set forth below) b. Specification Sequence Listing on: - Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or - Cross Reference to Related Applications ii. 🗌 paper Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, or a computer program listing appendix **ACCOMPANYING APPLICATIONS PARTS** - Background of the Invention - Brief Summary of the Invention 9. 🔲 Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings ( if filed) 10. 37 C.F.R.§3.73(b) Statement Power of Detailed Description (when there is an assignee) Attorney Claim(s) - Abstract of the Disclosure 11. 🔲 English Translation Document (if applicable) [Total Sheets 5 4. 🔯 Drawing(s) (35 U.S.C.113) 12. Information Disclosure Copies of IDS ] Statement (IDS)/PTO-1449 Citations 5. Oath or Declaration [Total Pages ] 13. Preliminary Amendment a. Newly executed (original or copy) 14. 🛛 Return Receipt Postcard (MPEP 503) b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15. 🔲 Certified Copy of Priority Document(s) i. DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. 🖂 Nonpublication Request under 35 U.S.C. 122 named in the prior application, see 37 CFR (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 17. 🔲 Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: □ Continuation □ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09 / 861,041 Prior application information: Examiner Temesghen Ghebretinsae Group / Art Unit: 2631 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS or 🗌 Correspondence address below □ Customer Number or Bar Code Label 26817 Name PATENT TRADEMARK OFFICE Address City State Zip Code Country Telephone Fax Name (Print/Type) David P. Krivoshik Registration No. (Attorney/Agent) 39,258

Date November 11, 2003 Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

770

**TOTAL AMOUNT OF PAYMENT** 

Complete if Known			
Application Number	ТВА		
Filing Date	ТВА		
First Named Inventor	Wen-Yi Kuo		
Examiner Name	Ghebretinsae, Temeshhen		
Group / Art Unit	2631		
Attorney Docket No.	4928-108.1 US		

•	METHOD OF P	AYMENT (check	one)	ſ			FEE C	ALCULATION (continued)	
The Commissioner is hereby authorized to charge		3. ADE	ITIONAL	FEES					
1. A indicated fees and credit any over payments to:			Large		Small				
D				Fee	Entity Fee	Fee	Entity Fee		Fee
Deposit Account 13-2165		Code	(\$)	Code	(\$)	Fee Description	Paid		
Number		105	130	205	65	Surcharge - late filing fee or oath			
Deposit	Deposit		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Account Mathews, Collins, Shepherd & McKay, P.A.			139	130	139	130	Non-English specification		
Name		147	2,520	147	2,520	For filing a request for reexamination			
			112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
Applicant claims small entity status.  See 37 CFR 1.27			113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
2.   Payment Enclosed:			115	110	215	55	Extension for reply within first month		
☑ Check   Credit card   Money   Other Order			116	400	216	200	Extension for reply within second month		
<del> </del>	EEE C			117	920	217	460	Extension for reply within third month	
THE CALCULATION 118 1,440 218 720 Extension for reply w			Extension for reply within fourth month						
Large Entity S	mall Entity			128	1,960	228	980	Extension for reply within fifth month	
	ee Fee	Fee Description		119	320	219	160	Notice of Appeal	
• • • •	ode (\$)		Fee Paid	120	320	220	160	Filing a brief in support of an appeal	
	01 370	Utility filing fee	770	121	280	221	140	Request for oral hearing	
	06 165 07 255	Design filing fee Plant filing fee		138	1,510	138	1,510	Patition to institute a public uso	
108 740 2	08 370	Reissue filing fee		140	110	240	55	Petition to revive – unavoidable	
114 160 2	14 80	Provisional filling	fee	141	1,280	241	640	Petition to revive – unintentional	
		142	1,280	242	640	Utility issue fee (or reissue)			
SUBTOTAL (1) (\$) 770		143	460	243	230	Design issue fee			
2. EXTRA CLAIM	FEES			144	620	244	310	Plant issue fee	
Extra Fee from Fee		122	130	122	130	Petitions to the Commissioner			
			low Paid	123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
Total Claims Independent	-20 ** =	[	= 0	126	180	126	180	Submission of Information Disclosure Stmt	
Claims Multiple	-3 ** =	0 X	= 0	581	40	581	40	Recording each patent assignment per property (times number of	
Dependent		x	= 0	146	740	246	370	properties) Filing a submission after final rejection	
Large Entity Fee Fee	Small Entit	y Fee Description	on.	149	740	249	370	(37 ČFR § 1.129(a))	
Code (\$)	Code (\$)	•		143	140	243	310	For each additional invention to be examined (37 CFR § 1.129(b))	
103 18	203 9	Claims in exce						• • •	
102 84	202 42	•	aims in excess of 3	179	740	279	370	Request for Continued Examination (RCE)	
104 280	204 140		dent claim, if not paid	169	900	169	900	Request for expedited examination	
109 84	209 42	original patent	ependent claims over					of a design application	
110 18	210 9	** Reissue clai over original pa	ms in excess of 20 and atent	Other fe	ee (specify	v)			
	s	UBTOTAL (2)	(\$) 0				_		
**or number previous	sly paid, if greate	L r; For Reissues. see	above	*Reduc	ced by Ba	sic Filin	g Fee Pa	aid SUBTOTAL (3) (\$) 0	

SUBMITTED BY Complete (if applicable)					mplete (if applicable)
Name (Print/Type)	David P. Krivoshik	Registration No. Attorney(Agent)	39, 258	Telephone	(609) 924-8555
Signature		) MA/AH		Date	November 11, 2003

WARNING: Information on this form may become public. Credit card information should not be

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## NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor		Wen-Yi Kuo			
Title	Adaptive Channe Based on Dopple	Estimation Using Continuous Pilot Signal Period			
Atty Docket Number		4928-108.1 US			

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

November 11, 2003

Date

David P. Krivoshik

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: WEN-YI KUO : Group Art Unit: 2631

Serial No.: TBA : Examiner: Temesghen Ghebretinsae

Filed: TBA : Date: November 11, 2003

Title: ADAPTIVE CHANNEL ESTIMATION: Attorney Docket: 4928-108.1 US

USING CONTINUOUS PILOT SIGNAL

BASED ON DOPPLER PERIOD

MS Patent Application Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### LETTER TO OFFICIAL DRAFTPERSON

Enclosed please find five (5) sheets of formal drawings representing Figures 1a through 7 for the above-captioned patent application.

If there are any fees due in respect to this Continuation, please charge them to Deposit Account No. 13-2165. Authority is hereby given to charge any such deficiency, or credit any overpayment to Deposit Account No. 13-2165 Mathews, Collins, Shepherd & McKay. The Examiner is invited to contact the undersigned if further information is required.

Respectfully submitted,

David P. Krivoshik Reg. No. 39,258

ATTORNEY FOR APPLICANT

MATHEWS, COLLINS, SHEPHERD & MCKAY, P.A.

100 Thanet Circle, Suite 306

Princeton, NJ 08540 Phone: 609-924-8555 Fax: 609-924-3036

#### Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express mail in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on November 11, 2003.

Joyce Maule

Name

Signature

#### **EXPRESS MAIL CERTIFICATE**

Express Mail Mailing Label Number: EV 355379060 US

Express Mail Corporate Account Number: X088384

Date of Deposit: November 11, 2003

### Type of Documents:

- 1. Utility Patent Application Transmittal (Large Entity) (1 page);
- 2. Express Mail Certificate (1 page);
- 3. Fee Transmittal Sheet; (1 page);
- 4. Specification (17 pages);
- 5. Letter to USPTO Official Draftsperson (1 page);
- 6. Drawings (5 sheets);
- 7. Declaration (executed) (2 pages);
- 8. Executed Power of Attorney (1 page);
- 9. Non Publication Request (1 page);
- 10. Check for \$770.00 for Filing Fee;
- 11. Acknowledgment Post Card;